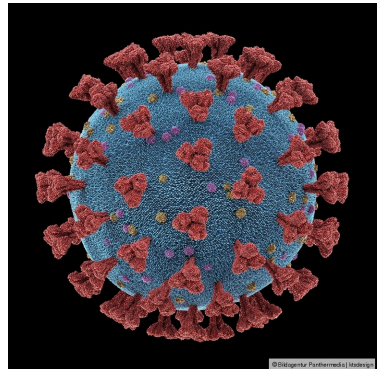


## COVID-19 Measures

- Wear a mask (medical or FFP2) until you have taken a seat
- When seated you **may** take off the mask if you can maintain an interpersonal distance of 1,5 m
- Open the windows periodically whenever possible
- Behave reasonable and use common sense



# Distributed Systems

## Basics of Communication

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# Agenda

## 1 Introduction

## 2 Basics of Communication

- Number of Communication Peers
- Addressing
- Buffering
- Communication Pattern
- Semantics of Messages

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# Goals

- Getting accustomed to a **generic message-oriented communication service** with a very high practical relevancy → the Internet and the TCP/IP protocol suite
- Getting to know **sockets** as a common API for network programming
- Communication services on higher layers (e.g., **remote procedure calls (RPCs)**, **web services**) are based on these basic services

## Layering

Higher layer communication services and middleware platforms offer a more abstract interface which is aligned with the corresponding cooperation paradigm. They are based internally on these fundamental concepts of the underlying communication system

# Agenda

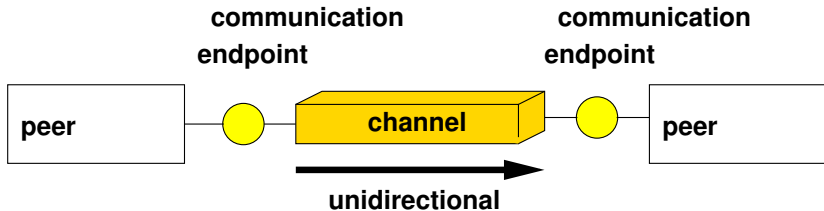
## 1 Introduction

## 2 Basics of Communication

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## Basics of Communication

- All interaction between any participants requires an underlying communication capability
- **Communication channel**
  - The facility that allows for the connection/coupling of communication partners is called **communication channel** or simply **channel**
- **Direction of the message flow** of a channel
  - A channel is called **directed** or **unidirectional** if one process takes exclusively the sender role and the other process takes exclusively the receiver role
  - Otherwise the channel is called **undirected** or **bidirectional**



# Aspects of Communication

- 1 The number of communication peers
- 2 Addressing
- 3 Buffering
- 4 Communication pattern
- 5 Message structure



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# Number of Peers of a Channel

- Exactly two:
  - Most simple (and most common) case
- More than two:
  - For certain applications **group communication** may be appropriate
  - → **multicast** service
  - Special case: Broadcast

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## Direct Addressing

- Each communication partner have a distinct, unambiguous (potentially globally unique) address
- Addressing can be **explicit** and **symmetrical**
  - The sender must explicitly name the receiver – and vice versa

### Example:

SEND ( *P*, message ) - Send a message to process *P*

RECEIVE ( *Q*, message ) - Receive a message from process *Q*

- **Asymmetrical** variant (e.g., for server processes):
  - Only the sender names the receiver, the receiver (server) gets to know the identity of the sender only on reception

### Example:

SEND ( *P*, message )

RECEIVE ( *sender\_id* , message )

# Indirect Addressing

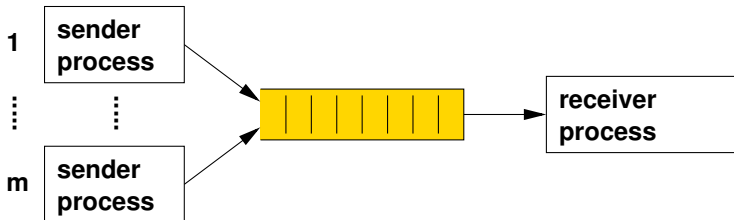
- Communication happens indirectly via **intermediary instances**
- **Advantages:**
  - Improved **modularity**
  - The number of communication partners can be restructured in a **transparent** manner, e.g., after a node failed
  - Extend options of group communication, like, for example,  $m : 1$ ,  $1 : n$ ,  $m : n$
  - Intermediary instance may ...
    - only forward
    - store and forward
    - transform/translate messages

# Example for Indirect Addressing

## Mailbox:

SEND ( mbox, message ) - Send a message to a mailbox mbox.

RECEIVE ( mbox, message ) - Receive a message from a mailbox mbox.



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# Buffering

- **Capacity** of a channel:  
The number of messages which can be **stored** temporarily in a channel to **decouple** sender and receiver in time
- The channel's capability for buffering messages is typically implemented by a (waiting) **queue**
- In distributed systems the waiting queue is typically realized on the receiver site (rendezvous site)
- Buffering can be used to restore the message order or to modify the sending order



## No Buffering (Capacity Zero)

- **Unbuffered** communication
- Sender and receiver are very **closely coupled** in time
- Also called **Rendezvous**
- Often considered to be too inflexible
- **Example:**
  - A sender is blocked when a SEND operation happens before a corresponding RECEIVE operation
  - As soon as the corresponding RECEIVE operation is executed the message is copied directly without any buffering from the sender process to the receiver process
  - If vice versa a RECEIVE operation happens at first, the receiver is blocked until the SEND operation is executed
- **Example:** Communication between threads in various microkernels such as RIOT or L4

## Limited Capacity

- A channel can contain at any point of time a maximum of  $N$  messages (waiting queue with **capacity  $N$** )
- SEND operation during a non-full waiting queue
  - The message is stored in the queue
  - The sender process resumes its normal operation
- Waiting queue is full (it contains  $N$  sent but not yet received messages):
  - The sender process blocks until free space in the queue is available again or the message is discarded
  - Analogously a receiver is blocked on a RECEIVE operation if the waiting queue is empty

## Consequences

- **Buffered** communication enables **loose coupling** of the communication partners in terms of time
- Passing the message to the communication system does not imply that the receiver has received the message
- Typically the sender won't even know a maximum duration until a message is received
- If this knowledge is of importance for the sender an explicitly communication between sender and receiver is required:

Process P (Sender):

...

send ( Q, *message* );

receive ( Q, *reply*);

...

Process Q (Receiver):

...

receive ( P, *message* );

send ( P, "'acknowledgement'" );

...

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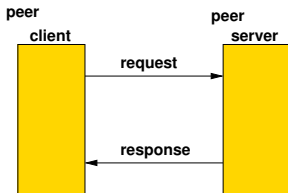
# Communication Pattern

## One-Way

- Single message without response or acknowledgement

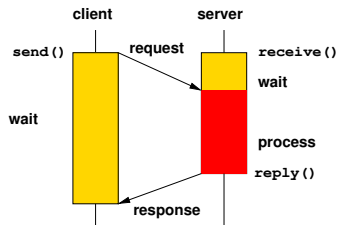
## Request/Response

- Client role (consumer)
- Server role (producer)
- Often blocking on the client site (→ standard RPC)

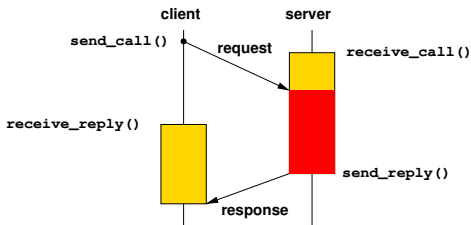


## Differing Synchronicity for Request/Response:

- **Synchronous call:** The sender process blocks until the end of the communication process (→ arrival of the response)  
 ⇒ no parallelism
- **Asynchronous call:** Sender is only delayed for the initiation of the communication process (→ passing the message to the communication system)



(a) synchronous



(b) asynchronous

## Publisher/Subscriber Model

- Message classified by **topics** or event channels
- Receiver subscribe topics (subscriber)
- Sender publishes messages or events (publisher)
- Model allows for **transparent** sending of messages to multiple receivers!
- **Examples:** CORBA Notification Service, OMG DDS, MQTT

## More Complex Communication Patterns

- Not very common in simple communication systems
- Exception: Three-way handshake between two participants for reliable connection establishment
- More complex patterns emerge by group communication
- Very common on the upper layers
- **Example:** business process



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# Semantics of Messages

## Byte stream

- Passed messages of various SEND operations cannot be identified as individual units any more  $\Rightarrow$  message borders get lost
- The receiver (and the communication system) observe only sequence of characters (byte stream)
- **Example:** UNIX pipes

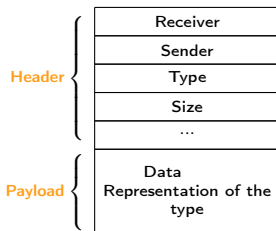
## Message container

- Messages can be identified by sender and receiver
  - The messages have either a fixed length or the length can be derived on both sides
- $\Rightarrow$  The message borders remain intact
- The correct interpretation of the internal structure of a message is the responsibility of the communication peers
  - **Example:** UNIX message queues

# Message Structure

## Typed messages

- Messages have a typed structure
- The type is known to the sender and receiver and partly by the communication system
- The type is used as part of the operations
- Exemplary structure of a message:



- Message body may contain typed objects (→ object-orientation)

# Message Serialization

## Example

- Java object serialization transforms an object into a bytestream and vice versa (deserialization)
  - The **header** contains information about type, layout etc., the **body** contains the actual data
  - Java class implements the interface `java.io.Serializable`
  - All attributes of the class must be serializable themselves or marked as `transient`
  - Operations are `writeObject()`, `readObject()`

# Messages of a Documental Nature

- Example: HTML over HTTP
- XML-Documents
  - Very popular today
  - Type description via scheme
- Example: SOAP (**Simple Object Access Protocol**)

```
1 <soap-env:Envelope
2   xmlns:soap-env="http://schemas.xmlsoap.org/soap/envelope/"
3   soap-env:encodingStyle="http://schemas.xmlsoap.org/soap/encoding/"
4   xmlns:xsi="http://www.w3.org/2001/XMLSchema-instance"
5   xmlns:xsd="http://www.w3.org/2001/XMLSchema">
6   <soap-env:Body>
7     <tns:getFlaeche xmlns:tns="urn:tns:beispiel">
8       <tns:seite1 xsi:type="xsd:double">8.0</tns:seite1>
9       <tns:seite2 xsi:type="xsd:double">4.0</tns:seite2>
10    </tns:getFlaeche>
11  </soap-env:Body>
12 </soap-env:Envelope>
13
```

## Important takeaway messages of this chapter

- For all higher layer services in a distributed system an underlying communication system is required
- The facility that enables the communication between the peers is called channel
- Important characteristics of a communication system are the number of participants, the addressing style, its capacity, the used communication pattern, and the semantics of the message

